

## I M P O R T A N T

- WE ASK THAT ALL CONSIGNORS REVIEW THIS PACKET THOROUGHLY.
- HORSES ARRIVING AT THE STABLE GATE WITHOUT PROPER DOCUMENTATION WILL NOT BE GRANTED ACCESS TO SANTA ANITA PARK STABLE AREA. PLEASE READ E.I.A, EVA, HEALTH CERTIFICATE, EHV-1 AND EQUINE PIROPLASMOSIS REQUIREMENTS.
- NO PETS ALLOWED NO EXCEPTIONS
- UPON ARRIVAL, PLEASE CHECK IN WITH THE FASIG-TIPTON STABLE OFFICE FOR YOUR STALL ASSIGNMENTS.
- IF YOU WILL BE ARRIVING AFTER 4:00 PM, PLEASE CONTACT ONE OF THE FOLLOWING FASIG-TIPTON PERSONNEL TO MAKE ARRANGEMENTS.
- Mike Machowsky (626) 483-2500
- Wes Peterson (859) 576-3342



# IMPORTANT NOTICE

### **General Liability and Workers' Compensation Coverage**

Santa Anita Park <u>will not allow</u> horses or personnel on the grounds until a Certificate of Liability Insurance (\$1,000,000 coverage) and Workers' Compensation coverage is on file. Please make sure the certificate covers the current year. A certificate from last year will not be accepted.

Los Angeles Turf Club, Inc, 285 Huntington Drive, Arcadia, CA 91007 must be listed as certificate holder on the Certificate of Liability Insurance and Workers' Compensation.

It is recommended that you email (<u>vcooper@fasigtipton.com</u>) or fax (859-254-0794) the certificate to Fasig-Tipton by May 15<sup>th</sup> and bring a copy with you when shipping into Santa Anita Park.

Your cooperation regarding this requirement is greatly appreciated.



Dear Consignors:

The Santa Anita Two-Year-Olds in Training Sale is scheduled for Wednesday, June 5<sup>th</sup>, 2019 at 1:00 PM at Santa Anita Park, 285 Huntington Drive, Arcadia, California 91007.

| SALES GROUNDS        |   |
|----------------------|---|
| ENTRANCE:            | There are multiple entrances to Santa Anita Park. The best entrance to the Santa Anita  |
|                      | Park Sales Grounds is GATE #5:  |
|                      | TRAVELING WEST ON THE 210 FREEWAY:  |
|                      | From the 210 West, exit Santa Anita Avenue; make a left on Santa Anita Avenue; go   |
|                      | south and turn right on Huntington Drive; follow Huntington Drive to Gate #5 (look for the large signboard).  |
|                      | TRAVELING EAST ON THE 210 FREEWAY:  |
|                      | From the 210 East, exit Santa Anita Avenue; make a right on Santa Anita Avenue; go south and turn right on Huntington Drive; follow Huntington Drive to Gate #5 (look for the large signboard).   |
| STABLE ENTRY:        | All horses must be signed in by the Fasig-Tipton stable area manager and be   |
|                      | accompanied by the required paperwork listed below.   |
|                      | **Horses that arrive at the stable gate without proper documentation will not be  |
|                      | granted access to Santa Anita Park Sales Area.  |
| STABLING:            | Stalls will be available beginning Wednesday, May 22 <sup>nd</sup> . Your specific barn/stall   |
|                      | assignments will be issued from the Fasig-Tipton Stable Office upon your arrival to Santa<br>Anita Park.  |
|                      | <b>NOTE</b> : The Fasig-Tipton Stable Office at Santa Anita Park will be located near the sales barn area. Fasig-Tipton personnel will be on the grounds to assist you with your stabling and sale needs throughout the sale.   |
| VAN/TRAILER PARKING: | Horse vans and trailers are permitted at the chutes for the express purpose of delivering<br>or picking-up horses. Traps must be unloaded after training hours. Vans and trailers<br>must park in the designated area near the sales barns when not in use. Please check<br>with the stable office personnel as to where the designated parking is located. |

| E.I.A. (COGGINS)<br>CERTIFICATE: | All sales horses and ponies must have an individual form dated within six (6) months of the sale stating that the horse in question has tested negative to the E.I.A. or AGID test. <b>All Coggins Certificates are requested to be in our Kentucky office by May 7<sup>th</sup>, 2019.</b> A \$100 charge will be assessed after this date. The Form must identify the horse fully and properly by sire/dam, or dam, sex, color and age. Each form must include the veterinarian's signature and results. PLEASE DO NOT USE BARN NAMES OR NICKNAMES. PLEASE SEND EITHER THE ORIGINAL OR A COPY OF THE COGGINS TO THE KENTUCKY OFFICE BY THIS DATE. HORSES DO NEED TO TRAVEL WITH A COGGINS. |
|----------------------------------|--|
|                                  |  |
| HEALTH<br>CERTIFICATES:          | All sales horses and ponies must be accompanied by and listed on a certificate of veterinary inspection (CVI) which has been signed and dated within seventy–two (72) hours of arrival by a licensed veterinarian, and which shall contain the following written statement:  |
|                                  | "All horses listed on this certificate of veterinary inspection (CVI) have not originated from, not been stabled on, a premises which has had herpes virus (ehv-1) diagnosed during the previous thirty (30) day period."  |
| EQUINE HERPES                    |  |
| VIRUS (EHV-1):                   | All horses and ponies must provide proof of vaccination against equine herpes virus type-1 (ehv-1) by a licensed veterinarian within the minimum of seven (7) days and a maximum of ninety (90) days prior to entry. The certificate of veterinary inspection (CVI) shall also indicate the date of vaccination and the specific vaccine product used to vaccinate each horse.   |
|                                  |  |
| EQUINE PIROPLASMOSIS<br>TESTING  | All horses and ponies entering Santa Anita Park <b>FROM TEXAS ONLY</b> must be<br>accompanied by a negative test for Equine Piroplasmosis showing negative results for<br>both BABESIA CABALI and THEILERIA EQUI reported twelve months from the date of<br>entry to the stable area and/or race in which the horse is to compete. All Equine<br>Piroplasmosis Certificates are required to be in the Kentucky office by May 7 <sup>th</sup> , 2019.   |
|                                  |  |
| EQUINE VIRAL<br>ARTERITIS (EVA): | All sales horses are required to give an EVA Test on file dated within ninety (90) days of sale. Although this is not a warranted condition to buyers, any horse which tests positive will be listed as such at the sales office. All EVA Certificates are requested to be in our Kentucky office by May 7 <sup>th</sup> , 2019. Even though there are changes in requirements from various European countries, we recommend consignors have ALL SALES HORSES TESTED FOR EVA.  |
| JCC REGISTRATION                 |  |
| CERTIFICATES:                    | If you have not submitted the Jockey Club Certificate of Registration to the Fasig-Tipton Kentucky office, please send the certificate immediately to Fasig-Tipton Kentucky, 2400 Newtown Pike, Lexington, KY 40511. All Jockey Club Certificates are requested to be in our Kentucky office by May 7 <sup>th</sup> , 2019. A charge of \$100 per horse will be assessed if the Jockey Club registration certificate is received after this date.  |
| NAMES:                           | Name authorization slips for all named horses must be attached to the Jockey Club<br>Certificate of Registration.  |

| WORKERS COMPENSATION/<br>GENERAL LIABILITY |  |   |
|--|--|---|
| INSURANCE:                                 | Horses or personnel will not be allowed on the gro<br>insurance (\$1,000,000 coverage) and Workers' Com<br>Fasig-Tipton. Los Angeles Turf Club, Inc, 285 Huntir<br>be listed as certificate holder on certificate of liabili<br>compensation. It is recommended you email (vcoo<br>certificate to Fasig-Tipton (859-254-0794) prior to y<br>when shipping into Santa Anita Park. | npensation coverage is on file with<br>ngton Drive, Arcadia, CA 91007 must<br>ty insurance and workman's<br>per@fasigtipton.com) or fax the |
| PET POLICY:                                | RULE FOR ALL AREAS OF SANTA ANITA PARK <b>NO D</b>   | OGS or other pets ARE ALLOWED   |
|  | ON THE GROUNDS AT ANY TIME – NO EXCEPTIONS   | -   |
| FEED/HAY/BEDDING:                          | Stalls will be bedded upon your arrival. Only straw recommends using one of the vendors listed below please visit the stable office upon your arrival.   | -   |
|  | Angel's FeedWest Coast FeedMatt UnamunoMartin Vasquez(626) 437-5738(909) 260-5205  | •   |
| STABLE EQUIPMENT:                          | It will not be necessary for you to bring stall screens<br>Anita Park. Tubs, buckets, rakes, forks, screw eyes,<br>consignors.   |   |
| ICE:                                       | Ice will be available on the grounds free of charge.   |   |
| LAUNDRY FACILITIES:                        | There is no washer and dryer hookup in the barns a are Laundromats conveniently located to the track   |   |
|  | Monrovia Coin Laundry (2.3 miles)<br>404 W. Foothill Blvd.<br>Monrovia, CA 91016   | Coin-OP Laundry (1.3 miles)<br>838 W. Duarte Rd.<br>Arcadia, CA 91007   |
| STALL CARDS:                               | The Sales Company does not supply stall cards. The The local vendor is: Greg Martin (951) 243-83   |   |
| UNDER TACK SHOW:                           | There will be ONE showing under tack for consignors at Santa Anita Park on Monday, June 3 <sup>rd</sup> beginning at <u>10:00 am.</u> The order of show will be Consignor preference and the breezes will be timed electronically and videotaped.  |   |
| SADDLE CLOTHS:                             | All horses should wear a numbered saddle cloth wh<br>picked up at the Fasig-Tipton Stable Office upon arr<br>that they are returned to the Sales Company as you<br>returned.   | ival. Care should be taken to see   |
| USE OF RACE TRACK:                         | No horses are allowed on the track except during d consigned horses. Training hours will be posted at  |   |

| TRACK SIGNALS:                      | Horses will not be permitted to enter the track when the horn has been blasted indicating:  |
|-------------------------------------|---|
|                                     | <ul> <li>Harrow break</li> <li>Whenever there is a problem or incident on the track</li> <li>At the close of training hours.</li> </ul>   |
| ATTIRE:                             | Safety vests and helmets are mandatory for all persons astride a horse or pony <u>at all</u><br><u>times.</u>   |
| OUT RIDERS:                         | Out riders are on the track during training hours for the safety and service of all riders<br>and horses. It is their direct responsibility to enforce the rules set forth by management.<br>The rules for the use of the track are developed to ensure an efficient and safe work<br>environment. The out rider is the final authority for riders while on the track             |
| HORSES:                             | No locks are permitted on any horses' stall. Horses and ponies must be placed in a stall when dismounted. No horse or pony may be tied up outside of the barn.  |
| MOTORCYCLES/<br>DISORDERLY CONDUCT: | Motorcycles are not permitted in the stable area. Disorderly or immoral conduct<br>is not permitted while on Santa Anita Park property; this may result in the person being<br>ejected from the grounds.  |
| FIRE SAFETY:                        | Santa Anita Park has established rules concerning fire safety. These rules are intended<br>as a minimum precaution. All persons on the grounds should exercise maximum care<br>with respect to fire hazards and report any concerns to management immediately.<br>Violation of the fire safety rules will result in fines and, or expulsion from the grounds.                     |
| EMERGENCY FIRE:                     | Fire extinguishers are mounted on brackets at strategic locations in each barn.   |
| SMOKING:                            | Smoking is not allowed in horse stalls, tack rooms, shed rows or in the vicinity of waste containers. Smoking material must be extinguished in a proper container and manner (i.e. metal sand bucket or similar device, no tossing of waste on the ground).   |
| STORAGE:                            | Storage of feed, Tack, or equipment must not be permitted to obstruct the opening of any occupied stall door or shed row.   |
|                                     | Storage of flammable material must conform to the instructions provided by the manufacturer's label.  |
| ELECTRICAL<br>EQUIPMENT:            | Electrical equipment problems must be reported to the stable supervisor or security.<br>The stable supervisor must approve extension cords for use and type.<br>No tampering with electrical outlets or fuse boxes at anytime. No over loading of<br>electrical outlets. (I.e. no extension cords, multiple plug-ins or surge protectors to be<br>mounted to an existing outlet). |

# STATE REGULATIONSON MEDICATION:MEDICATION REGULATIONS IN CALIFORNIA

In specific circumstances, medications may be administered by licensed veterinarians to sales horses for therapeutic reasons and/or when necessary for the treatment or prevention of illness or injury. Any medication given within 72 hours of the start of the sale session in which the horse is to perform or is to be offered for sale must be documented and reported to the official veterinarian appointed by the California Horse Racing Board in compliance with California Code of Regulations, Title 4, Division 4, Article 13.5. Prospective purchasers are entitled to review this documentation, which must be placed in the repository not less than one hour before the start of the sales session in which the horse is entered or within 24 hours of administration, whichever is earlier. Any horse catalogued in this sale is subject to examination by a licensed veterinarian appointed by Auctioneer, in addition to the CHRB Veterinarian, to determine whether the horse is in compliance with Auctioneer's Medication Policy and California law. Purchasers may request a post-sale blood-test within 24 hours of the time of sale AND prior to taking physical possession of the horse in question. The cost of testing is the sole responsibility of the purchaser. For further information, please contact the California Horse Racing Board, a veterinarian licensed in the state of California, and/or the Sales Company. The California Medication Regulations are separate from and in addition to the Sales Company's Medication Policy. Consignors must comply with both the Fasig-Tipton Company, Inc. Medication Policy and the California Regulations.

#### Additional Medication Rules and Restrictions are as follows:

Medications may only be administered at or below manufacturers recommended dosages. The medication policy and testing procedures will be administered according to the guidelines of the sales company subject to state regulations.

#### **RESTRICTIONS:**

- 1. No more than one (1) non-steroidal anti-inflammatory drug (NSAIDS) may be administered.
- 2. No more than one (1) cortico-steriod may be administered.
- 3. Clenbuterol may not be administered within 14 days of an under tack show or sales session in which the horse is entered.
- 4. No bronchodilators, including but not limited to Albuterol, may be administered within 72 hours of an under tack show or sales session in which the horse is entered.
- 5. No exogenous anabolic steroids or their esters are to be administered within 45 days of sale.
- 6. No medication may be administered within 24 hours of a horse's under tack performance.
- 7. The following medications may <u>NOT</u> be administered on the sales grounds.
   ~ all substances classified as either Class I or Class II by the Association of Racing Commissioners International (ARCI)
  - Furosemide (Salix or Lasix)
  - ~ Procaine Penicillin

## PROHIBITED PRACTICES:

The following are not permitted on the sales grounds:

- Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy;
- Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function;
- Electrical devices designed or used to increase the speed of a horse;

|   | <ul> <li>Any invasive practice which intentionally conceals a material defect or chronic lameness.</li> <li>Intra-articular injections.</li> </ul>   |
|---|--|
|   | Internal Blister or other injections to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.  |
| USE OF RIDING<br>CROP AT UNDER TACK<br>SHOW | Within one-eighth of a mile from the finish line, a rider is prohibited from<br>striking the horse behind the girth and both hands must be holding the reins. A<br>rider is prohibited from striking the horse in any manner beyond the finish line.<br>In situations where the safety of the horse or rider is in jeopardy, a riding crop may be<br>used in front of the girth. Any infraction of this rule will result in a \$500 fine to be paid<br>by the consignor. Chronic offenders may be banned from riding on the sales grounds.<br>The sales company or its representatives have full, final and sole authority to interpret<br>and enforce this rule. Funds collected from fines levied will be distributed to a<br>thoroughbred industry related charity at the sole discretion of the sales company. |
| HORSESHOES:                                 | A horse at a two-year-old in training sale may not train with front shoes that have toe grabs which exceed 2mm, or bends, turn-downs, stickers, jar caulks or any other traction device. Turn-downs of more than ¼" will not be permitted on hind shoes.   |

Fasig-Tipton Stable Office at Santa Anita Park 285 Huntington Drive – Gate 5 Aracadia, CA 91007 Mike Machowsky Wes Peterson (626) 483-2500 (859) 576-3342

#### SANTA ANITA PARK SALES GROUNDS RULES

No smoking Speed Limit 15 mph No firearms Absolutely no dogs or pets No controlled substances No drug paraphernalia No glass containers No electrical or mechanical device that affects a horse's performance No prohibited equine medications No electrical cooking devices No littering No candles No washing of automobiles No abuse of landscape No tampering with smoke, water sprinklers or fire protection No misuse of toilet facilities (i.e. toilet paper must be disposed of in the toilet and no other hygiene product may be flushed). No person shall act in a manner that disturbs the peace of the premises. This includes but is not limited to the playing of loud music, loud or unruly behavior and loud noise in the common areas. All drugs and medications must be stored in a locked cabinet or locked tack box at all times.

## Zero Tolerance of Violence - No person shall exhibit behavior that is threatening either verbally or by implication towards any person or animal while on the Santa Anita Park sales grounds.

Thank you,

FASIG-TIPTON COMPANY, INC.